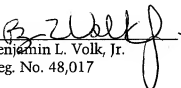


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF E-FILING**

I hereby certify that this correspondence has been e-filed with the U.S. Patent and Trademark Office on February 28, 2008.

  
Benjamin L. Volk, Jr.  
Reg. No. 48,017

In re application of:  
Tully et al.

Serial No.: 10/692,067

Filed: October 22, 2003

For: System and method for the automated  
brokerage of financial instruments

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Examiner: ZECHER, MICHAEL R

Group Art Unit: 3691

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ELECTION WITHOUT TRAVERSAL IN RESPONSE TO**

**RESTRICTION REQUIREMENT**

In response to the Restriction Requirement dated January 28, 2008, Applicant files the following election without traversal.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 11 of this paper.